Monitoring and Evaluation Redesign Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Risk Area: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Risk Area Owner: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Why are we collecting the data?

* 1. Regulatory requirement – examples: Center of Excellence, CAP, CLIA, Joint Commission, DNV, CoP, State Regulations, Anti-Kickback Statue, Stark Law
  2. Previous findings – external/internal – audits and investigations
  3. OIG workplan – Office of Inspector General – www.oig.hhs.gov
  4. Recent sanctions – In the News - Office of Inspector General – [www.oig.hhs.gov](http://www.oig.hhs.gov), sanctions, penalties, enforcement actions
  5. Code of Conduct - organization
  6. Policies and Procedures - organization
  7. Impact on patient safety/quality of care, risk, employees, financial or reputational harm to organization

Additional websites: [www.cms.gov](http://www.cms.gov) – Medicare and Medicaid

[www.oig.hhs.gov](http://www.oig.hhs.gov) – Has a section for compliance guidance as well

State MAC

Review current work plans

1. Operational/administrative in nature

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1. Current Audit Plan. – Please review your current work plan prior to discussion

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1. What keeps you up at night?

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1. Has any of the directors/leaders identified areas that should be monitored?

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Corporate Compliance discussion elements:

1. Recent audit findings