APPLICATION FOR CONTINUING EDUCATION UNITS

HCCA's 21st Annual Compliance Institute
SESSION RECORDINGS – (NON-LIVE)
Live Event Held on March 26-29, 2017 in National Harbor, MD

** Submit this application to the Compliance Certification Board (CCB)® **
email: ccb@compliancecertification.org | **fax:** 952-988-0146

This form must be completed and submitted in order to receive CCB CEUs (Continuing Education Units). Be sure to indicate the sessions you complete and **submit ALL pages** of this application to CCB. Applications submitted without any sessions indicated and/or without all pages will not be accepted.

Compliance Certification Board (CCB): CCB has awarded a maximum of 23.1 CEUs for this conference. Participants, who attend this conference in-person, listen to the post-session recordings, or both, may only receive up to the 23.1 CCB CEU maximum. CCB CEUs earned for listening to the post-session recordings for this conference are awarded as NON-LIVE.

*CCB CEUs will be viewable online within 2-4 weeks, posted directly to your account.

*Most sessions are recorded, but a few are not. If a session does not appear on this form, a recording of it may not be available. Available sessions are subject to change.

Questions: **email:** ccb@compliancecertification.org | **phone:** 888-277-4977 or +1 952 933 4977

★ *By signing below, I certify that I have audited the recorded sessions indicated on this application:*

Date of Completion: _____

Name: _____

Email: _____ Phone: _____

Signature: _____

CONTINUED NEXT PAGE →

APPLICATION FOR CONTINUING EDUCATION UNITS

★ **PARTICIPANTS** must indicate their attendance below – **ONLY check sessions completed!**

PRE-CONFERENCE | *2.75 hours per Session*

- ☐ **P1** Large Hospital Systems
- ☐ **P2** Compliance Program Start Up: What Are the Basics Needed for Your Infrastructure?
- ☐ **P3** Keep Them Talking to You: A Culture of Trust & Integrity Improves Quality, Safety, and Organizational Outcomes!
- ☐ **P4** HIPAA Compliance That Addresses the Risks of Today and Will Grow with You in the Future
- ☐ **P5** Navigating the Physician Acquisition Experience
- ☐ **P6** False Claims Act Developments
- ☐ **P7** Immediately Address IT Access Compliance Challenges with These Techniques, Using Tools You Already Have
- ☐ **P8** EMR, CTMS and Clinical Trial Billing Audits: How These Tools Can Help You As An Internal Auditor
- ☐ **P9** Strategies to Build An Effective Compliance and Ethics Program
- ☐ **P10** Drug Diversion Enforcement Trends, Investigation, and Prevention
- ☐ **P11** Minimizing Stark Law Execution Risks
- ☐ **P12** A Case Study: How to Conduct an Effective and Compliant Internal Investigation
- ☐ **P13** Laboratory Compliance: Maintaining Compliance in an Uncertain and Changing Environment
- ☐ **P14** Discover How Managed Care Plans are Responding to Their Obligation in Detecting, Investigating, and Preventing Fraud and Abuse in the Health Care System
- ☐ **P15** Leveraging DMAIC and Active Management for Sustainable Quality Improvements
- ☐ **P16** Compliance Investigations 101: CO Toolbox Essentials
- ☐ **P17** CMS Final Rule: Reform of Requirements for Long Term Care Facilities
- ☐ **P18** Is Your Security Incident a Data Breach? Uncle Sam Wants to Know
- ☐ **P19** Compliant Physician Documentation and Coding in an Electronic Medical Record
- ☐ **P20** Anatomy of a False Claims Act Case: Investigation, Litigation, Negotiation, Resolution
- ☐ **P21** Achieving 340B Program Integrity
- ☐ **P22** Auditing Emerging Compliance Risk Areas
- ☐ **P23** Enabling Compliance Across the Organization: Toolkits for Operational Compliance
- ☐ **P24** Swords into Plowshares: Leveraging Clinical Data Quality Excellence and Data Mining Tools for Promoting Quality of Care
- ☐ **P25** Whistle While You Work: How to Prevent Activity Leading to Whistleblower Actions and Protect Health Organizations and Medical Practices from Whistleblower Threats
- ☐ **P26** Fighting for Survival: DMEPOS
- ☐ **P27** Academic Medical Center Compliance: Tips, Traps, and Emerging Best Practices
- ☐ **P28** Research Law and Compliance: 2016-2017 Year In Review

NAME: _____

CONTINUED NEXT PAGE →

APPLICATION FOR CONTINUING EDUCATION UNITS

★ **PARTICIPANTS** must indicate their attendance below – **ONLY check sessions completed!**

<u>CONFERENCE</u> | *1.0 hour per Session*

SESSIONS 100
- ☐ **101** 340B Compliance: Life after a HRSA Audit and Implementing a Corrective Action Plan
- ☐ **102** Latest Policy & Regulatory Changes to the Medicare Appeals Process
- ☐ **103** Highlights of the CMS Final Rule: Reform of Requirements for Long Term Care Facilities—The Impact on Compliance
- ☐ **104** OCR Enforcement Update
- ☐ **105** The Best Approach to Design Effective Corrective Action Plans (CAP)
- ☐ **106** Telehealth: Legal and Compliance Issues
- ☐ **107** Elements of a Successful Corporate Integrity Agreement
- ☐ **108** Leveraging Internal Audit to Improve Quality of Care Metrics
- ☐ **109** Top 10 Things a Compliance Professional Needs to Know About Coding
- ☐ **110** Behavioral Health Compliance: It Doesn't Need to be a Mystery
- ☐ **111** The Former Ameritox Whistleblower and the Ameritox Compliance Officer, Together

SESSIONS 200
- ☐ **201** Compliance Today, Effectiveness Tomorrow: The Necessary Steps to Success
- ☐ **202** The OIG's New CIA Form: How Your Compliance Program Can Benefit
- ☐ **203** Dealing with a Worthless Services Allegation
- ☐ **204** Successfully Resolving a Multi-Year OCR Investigation
- ☐ **205** Cleaning Up the Low Hanging Fruit to Protect Your Physician Practices
- ☐ **206** "Random" is Not Necessarily "Valid": Managing and Defending Against Statistics in Audits and FCA Claims
- ☐ **207** Implementing Drug Diversion Risk Rounds
- ☐ **208** Sampling 101: A Primer for Conducting Self Disclosure and Internal Audits
- ☐ **209** Yeah, but What's in It for Me? Making Training and Communications Impactful, Relevant, and Fun!
- ☐ **210** Conflicts of Interest and Big Data: What Can We Learn from Large Databases of Provider Disclosures?
- ☐ **211** Audit Log Demands During Litigation: Response Conundrums from a Compliance Perspective

SESSIONS 300
- ☐ **301** Compliance Challenges in the Yates Memo Era
- ☐ **302** The Blame Game: Accountability in Healthcare Compliance
- ☐ **303** Making the Most of a CIA
- ☐ **304** Managing the Business Associate Relationship: From Onboarding to Breaches
- ☐ **305** Split Shared/Consulting Services…to Split Share or Consult Is the Question
- ☐ **306** Advice of Counsel and Good Faith Reliance: Best Practices in a Risky Environment
- ☐ **307** Physician Arrangements: Conducting the Audit & Ensuring a Resolution
- ☐ **308** Auditing Compliance for Clinical Documentation and Coding: Collaboration Is Key!
- ☐ **309** Risk: A Fundamental 4-Letter Word for Compliance Professionals
- ☐ **310** You Don't Need to Be a Wizard to Solve Today's Compliance Challenges: Seven Steps to Ensure Your Compliance Program Follows the Yellow Brick Road
- ☐ **311** Overlapping Surgery Developments

NAME: _____

CONTINUED NEXT PAGE →

APPLICATION FOR CONTINUING EDUCATION UNITS

★ **PARTICIPANTS** must indicate their attendance below – **ONLY check sessions completed!**

CONFERENCE | *1.0 hour per Session*

SESSIONS 400
- ☐ **401** Down the Rabbit Hole: Compliance Investigations, Corrective Action Planning, and Self-Disclosure
- ☐ **402** Congratulations on that New Hospital/Provider Practice Acquisition! Compliance Lessons Learned the Hard Way
- ☐ **403** Mitigating Hot Button Risk Areas in Home Health & Hospice
- ☐ **404** Mobile Health (mHealth) Applications in a Healthcare Environment
- ☐ **405** Making Compliance Work in Physician Practices
- ☐ **406** Federal Administrative Sanctions: Exclusion and Civil Money Penalties
- ☐ **407** Research Risks Assessments: What Must be Considered and Why
- ☐ **408** Dynamic Board Reports: What Do They Really Want to Know?
- ☐ **409** How to Get More LinkedIn Views than Roy: Practical Tips for Improving Your LinkedIn Profile & Getting Employers to Seek You Out
- ☐ **410** Medicare FDRs and Compliance Programs: What the Feds Expect and Tips for Ensuring Your Organization Satisfies the Requirements
- ☐ **411** The Business of Health Care Fraud Enforcement: A Pragmatic Discussion and Assessment

SESSIONS 500
- ☐ **501** Data Dashboards: What Should You be Tracking
- ☐ **502** Navigating Medical Necessity Denials Management for All Payers
- ☐ **503** Bundled Payments and Other Risk Arrangements for Post-Acute Care Providers
- ☐ **505** Physician Engagement in the Compliance Process
- ☐ **506** Managed Care Fraud: Enforcement and Compliance
- ☐ **507** Sampling and Statistical Methods for Compliance Professionals
- ☐ **508** The How and When of Leveraging Internal Audit
- ☐ **509** How to Keep Your Head Above Water in a Sea of Change
- ☐ **510** Join the JV (Joint Venture) Team! Best Practices for Providers, Payers and Vendors to Align Business Development, Legal Affairs and Corporate Compliance and Control Compliance Risk Before and After a Joint Venture Go-Live

SESSIONS 600
- ☐ **601** Compliance, The C-Suite, and The Board Of Directors: What To Report And How?
- ☐ **602** How to Use and Not Abuse MGMA and Other Survey Data in FMV Compliance Programs: Why Flawed Data Usage Leads to Increased Compliance Risk
- ☐ **603** Are You Billing the New PT and OT Evaluation Codes Properly?
- ☐ **604** Study of 1000 Vendor Security Practices
- ☐ **605** How to Develop Benchmarking Scorecards to Transition to Risk-Based Physician Auditing/Monitoring
- ☐ **606** Self-Disclosure: Obligations, Options, Outcomes
- ☐ **607** CMS Provider Network Accuracy: Risk Assessment and Monitoring Strategies for Medicare Advantage Plans
- ☐ **608** How to Get Audit‑Ready in 7 Steps
- ☐ **609** Building Your Healthcare Compliance Resume
- ☐ **610** What's Next in Washington?
- ☐ **611** Compliance Is Ruff: A Dog's Approach

NAME: _____

CONTINUED NEXT PAGE →

APPLICATION FOR CONTINUING EDUCATION UNITS

★ **PARTICIPANTS** must indicate their attendance below – **ONLY check sessions completed!**

<u>CONFERENCE</u> | *1.0 hour per Session*

SESSIONS 700
- ☐ **701** Helpful Tips for Value Based Payment (VBP) Compliance Programs
- ☐ **702** Strategic Considerations in Resolving Voluntary Disclosures to CMS, OIG, and DOJ
- ☐ **703** Cybersecurity in the Post-Acute Arena
- ☐ **704** Bored with Your Board's Lack of Interest?
- ☐ **705** Physician Training on Medical Necessity: What Is Important for Clinical Trials and How Does It Impact Revenue Integrity?
- ☐ **706** Kickback and Stark Law Developments
- ☐ **707** Risk Assessments and Work Plans: Key Spokes in the Circle of Compliance
- ☐ **708** Conducting an Internal Compliance Investigation When the Government Claims You Have False Claims
- ☐ **709** Strategies for Professionalism When Tantrums Aren't an Option
- ☐ **710** Challenges for Academic Medical Centers
- ☐ **711** OIG Panel

<u>POST-CONFERENCE</u> | *1.75 hours per Session*

- ☐ **W1** Decrypting a Ransomware Strategy
- ☐ **W2** How the "Three Amigos" of a Compliance Program—Compliance Officer, Legal, and Human Resources—Can Work Together to Support and Advance an Effective Compliance Program
- ☐ **W3** 340B Drug Pricing Self-Disclosures and Repayments: Success Stories and Lessons Learned
- ☐ **W4** How to Navigate and Survive a Mega Breach
- ☐ **W5** Lessons Learned: How Recent Enforcement Cases Provide Insight into Effective Compliance Programs for FMV and Commercial Reasonableness
- ☐ **W6** Government Investigations and Compliance Matters: Roadmap for In-House Counsel and Compliance Professionals
- ☐ **W7** Designing a Successful Analytics-Based Hospital Compliance Program and Securing Cross‑Department Endorsement
- ☐ **W8** Monitoring and Auditing HIPAA Compliance
- ☐ **W9** STRESS Makes You Distracted, Distraught, Dumb & Dead!
- ☐ **W10** Driving Quality of Care Through Culture Change Strategies: Identifying Culture Challenges, Collecting Data to Show Value for Change, and Creating Culture Change by Demonstrating What's in It for Me?
- ☐ **W11** Building Your Toolbox to Manage Conflict of Interest: Sunshine, Open Payments, and Investigations
- ☐ **W12** Criminal and Civil Enforcement Trends: Focus on Federal Enforcement of Fraud and Abuse Involving Hospice Programs and Opioid Abuse
- ☐ **W13** Medicare Overpayment 60-Day Rule: What Your Compliance and Auditing Departments Need to Know
- ☐ **W14** How to Overcome Growing Pains by Maturing your Compliance Program from the Wonder Years to the Golden Years: Physician‑Hospital Arrangements
- ☐ **W15** Privacy Officer Roundtable
- ☐ **W18** Effective Auditing Program for Managed Care Plans
- ☐ **W19** MIPS, APMS, QRUR, and CMS Data: How Do Your Physicians Compare?
- ☐ **W20** Mergers and Acquisitions for Compliance Professionals
- ☐ **W21** Don't Let Your Quality Program Face the Risk Apocalypse: Practical Approaches to Implementing and Integrating ERM and Compliance with Quality
- ☐ **W22** Do You Know What Your Business Associates' Subcontractors & Vendors are Doing with Your PHI & ePHI?

NAME: _____