

SAMPLE COMPLIANCE CULTURE and PROGRAM REACH SURVEY

1. I am familiar with the company's compliance program.
 - a. Strongly Agree
 - b. Agree
 - c. Neither Agree nor Disagree
 - d. Disagree
 - e. Strongly Disagree

2. I know where to find a copy of the code of conduct.
 - a. Strongly Disagree
 - b. Disagree
 - c. Neither Agree nor Disagree
 - d. Agree
 - e. Strongly Agree

3. I am aware of the compliance hotline and how to access it.
 - a. Neither Agree nor Disagree
 - b. Strongly Agree
 - c. Strongly Disagree
 - d. Disagree
 - e. Agree

4. I know how to contact the compliance department if I have a question.
 - a. Strongly Disagree
 - b. Disagree
 - c. Neither Agree nor Disagree
 - d. Agree
 - e. Strongly Agree

5. I am aware of where to find the policies and procedures related to my job.
 - a. Strongly Agree
 - b. Agree
 - c. Neither Agree nor Disagree
 - d. Disagree
 - e. Strongly Disagree

6. I know where the compliance policies and procedures can be located.
 - a. Neither Agree nor Disagree
 - b. Strongly Agree
 - c. Strongly Disagree
 - d. Disagree
 - e. Agree

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7. My management team supports the goals and objective of the compliance program.
 - a. Strongly Disagree
 - b. Disagree
 - c. Neither Agree nor Disagree
 - d. Agree
 - e. Strongly Agree

8. My coworkers encourage ethical and compliant behavior (doing the right thing).
 - a. Strongly Disagree
 - b. Disagree
 - c. Neither Agree nor Disagree
 - d. Agree
 - e. Strongly Agree

9. If a compliance concern comes to my attention, I would feel comfortable reporting it to my Supervisor/Manager.
 - a. Strongly Agree
 - b. Agree
 - c. Neither Agree nor Disagree
 - d. Disagree
 - e. Strongly Disagree

10. I am comfortable reminding a coworker if something they are about to do would violate the Code of Conduct or a policy.
 - a. Strongly Agree
 - b. Agree
 - c. Neither Agree nor Disagree
 - d. Disagree
 - e. Strongly Disagree

11. As an associate, have you felt pressure to compromise ethics (moral principles) to get the job done?
 - a. Neither Agree nor Disagree
 - b. Strongly Agree
 - c. Strongly Disagree
 - d. Disagree
 - e. Agree

12. If a compliance concern comes to my attention, I would feel comfortable reporting it to my Administrator.
 - a. Strongly Disagree
 - b. Disagree
 - c. Neither Agree nor Disagree
 - d. Agree
 - e. Strongly Agree

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13. If a compliance concern comes to my attention, I would feel comfortable reporting it to my Human Resource Department.
 - a. Strongly Agree
 - b. Agree
 - c. Neither Agree nor Disagree
 - d. Disagree
 - e. Strongly Disagree

14. If a compliance concern comes to my attention, I would feel comfortable reporting it to the Compliance Officer.
 - a. Neither Agree nor Disagree
 - b. Strongly Agree
 - c. Strongly Disagree
 - d. Disagree
 - e. Agree

15. I am confident that the Compliance Department will ensure my compliance concern is addressed and resolved in a timely manner.
 - a. Strongly Disagree
 - b. Disagree
 - c. Neither Agree nor Disagree
 - d. Agree
 - e. Strongly Agree

16. Fear of retaliation would prevent me from reporting a compliance problem.
 - a. Neither Agree nor Disagree
 - b. Strongly Agree
 - c. Strongly Disagree
 - d. Disagree
 - e. Agree

17. During Compliance and Ethics Week, I saw one of the Pet Compliance Posters.
 - a. I was not employed at that time.
 - b. Yes
 - c. No

18. I have observed workplace behavior that I felt violated the Code of Conduct, policy or law.
 - a. Strongly Agree
 - b. Agree
 - c. Neither Agree nor Disagree
 - d. Disagree
 - e. Strongly Disagree

19. If yes, to the previous question, did you report it to anyone?
 - a. Yes
 - b. No
 - c. Does not apply.

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20. Who serves as the Compliance Liaison for my community?
- a. I do not know.
 - b. Director of Nursing
 - c. Regional Clinical Nurse
 - d. Administrator
 - d. Chief Operating Officer