



*4<sup>th</sup> Annual*

# Healthcare Enforcement Compliance Conference

November 4–7, 2018 | Washington, DC  
Grand Hyatt Washington

**CLE**  
**CREDITS**  
**AVAILABLE**  
*see page 5*

***EARN UP TO 21.5 CLOCK HOURS OF CLE\****

Discover how legal and compliance teams can collaborate, get up-to-date on trends in regulatory changes, and find out what you need to do to conform to regulations.

Join HCCA in November for healthcare regulation updates, insights into various fraud enforcement topics, and peer networking opportunities.

**[hcca-info.org/hecc](http://hcca-info.org/hecc)**

Questions? [amber.zerin@corporatecompliance.org](mailto:amber.zerin@corporatecompliance.org)



\*SEE PAGE 5 FOR FURTHER DETAILS AND LISTING OF ADDITIONAL CEU APPROVALS

# About the conference

HCCA's Healthcare Enforcement Compliance Conference covers a variety of fraud enforcement topics including False Claims Act, cyber security, and privacy, and provides Department of Justice updates. Discover how legal and compliance teams can collaborate, get up-to-date on trends in regulatory changes, and find out what you need to do to conform to regulations.



## HERE'S WHAT PAST ATTENDEES SAID:

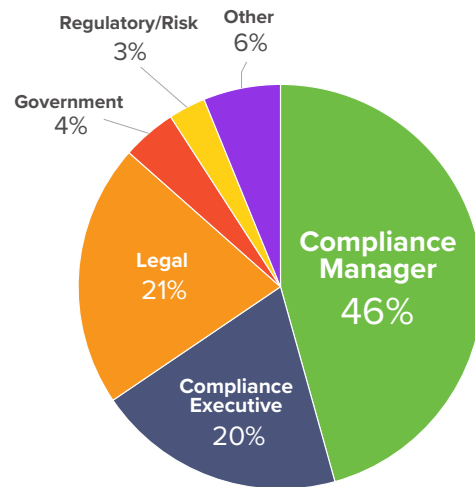
"Attending my first Healthcare Enforcement Conference has been educational from the legal aspect and hearing from government officials. Sitting in DC where they just walk in from their jobs has provided a plethora of great speakers."

—Kelly Willenberg

"In my mundane world of research and writing, and regularly reading through lengthy treatises on topics such as the False Claims Act, it's nice from time to time to give life to that aspect of my job. This conference has been doing just that, with engaging presenters, topical discussion and useful information I can take back to my office."

—Brian Zeeck

## WHO ATTENDED IN 2017?



Looking for healthcare compliance connections? Sign up now for

## SpeedNetworking\*

Sunday, November 4, 12:30 – 1:15 PM  
(BOXED LUNCH WILL BE SERVED)

Want to build your network? SpeedNetworking is an easy way to connect with peers who share your challenges. Individual one-on-one meetings provide an excellent opportunity to learn from one another and initiate long-lasting professional relationships.

**Don't wait to enroll. Space is limited and pre-registration is required.**

**Sign up now at [hcca-info.org/hecc](http://hcca-info.org/hecc)**

\*NOTE: SpeedNetworking is not eligible for Continuing Education Units (CEUs).

## EARN YOUR CERTIFICATION

### Certified in Healthcare Compliance (CHC)<sup>®</sup>

Learn more about the CHC certification at [compliancecertification.org](http://compliancecertification.org)

**Apply to take the optional CHC exam on-site after the conference**

**Wednesday, November 7 | 1:00 PM (12:45 PM CHECK-IN)**

**\$250 HCCA MEMBERS OR \$350 NON-MEMBERS**

You must apply to sit for the exam. To apply, download the CHC exam application from [hcca-info.org/hecc](http://hcca-info.org/hecc). Questions? Email [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org). Twenty CCB CEUs are required to sit for the exam. For Healthcare Enforcement Compliance Conference sessions, one clock hour equals 1.2 CEUs. Attending the entire Healthcare Enforcement Compliance Conference (pre-conference through post-conference) provides sufficient CEUs to qualify to sit for the exam.

## Sunday, November 4: Pre-Conference

9:00–10:30 AM Pre-Conference Breakout Sessions	<b>P1 Anatomy of False Claims Act Case –</b> Moderator: Katherine Lauer, Partner, Latham & Watkins, LLP; Amy Easton, Phillips and Cohen LLP; Laura Ellis, Senior Counsel, HHS OIG; Amy D. Kossak, Trial Attorney, Fraud Section, Civil Division, U.S. DOJ	<b>P2 A Data Breach Lived and Learned: Practical Tips for Your Response and HHS OCR’s Review –</b> Lisa S. Rivera, Partner, Bass, Berry & Sims PLC; John M. Bailey, HIPAA Privacy Officer, St Jude Children’s Research Hospital	<b>P3 2018 OIG Administrative Enforcement Update –</b> David M. Blank, Partner, Quarles & Brady LLP; Mariel Filtz, Program Analyst, HHS OIG
10:30–10:45 PM	<b>Networking Break</b>		
10:45–12:15 PM Pre-Conference Breakout Sessions	<b>P4 Litigating a False Claims Act Case –</b> John T. Boese, Of Counsel, Fried, Frank, Harris, Shriver & Jacobson LLP; Robert Vogel, Partner, Vogel, Slade & Goldstein; Latour “LT” Lafferty, Partner, Holland & Knight; Ben Wei, Senior Trial Counsel, Fraud Section, Civil Division, U.S. DOJ	<b>P5 Kickback and Stark Law Developments –</b> Charles Oppenheim, Partner, Hooper Lundy Bookman; Marlan Wilbanks, Senior Partner, Wilbanks and Gouinlock LLP; Scott Withrow, Partner, Withrow, McQuade & Olsen, LLP	<b>P6 Enforcement &amp; Compliance Home Health, Hospice and Nursing Homes –</b> Kathleen A. Hessler, Director, Compliance, Simione Healthcare Consultants, LLC; Richard Y. Cheng, Member, Dykema; David Beck, Chief Compliance Officer, Sava Senior Care
12:15–1:30 PM	<b>Lunch (on your own)</b>		
12:30–1:15 PM	<b>SpeedNetworking –</b> Pre-registration is required. Space is limited. A boxed lunch will be provided to session participants. <i>NOTE: SpeedNetworking is not eligible for Continuing Education Units (CEUs).</i>		
1:30–3:00 PM Pre-Conference Breakout Sessions	<b>P7 Negotiating False Claims Act Settlements and Mediation –</b> Moderator: Gabriel Imperato, Managing Partner, Nelson Mullins Broad and Cassel; Steve Altman, Principal, Altman Dispute Resolution Services; Patricia L. Hanower, Assistant Director, Civil Division, U.S. DOJ; Michael A. Morse, Partner, Pietragallo Gordon Alfano Bosick & Raspanti, LLP	<b>P8 Handling a Criminal Healthcare Fraud Case –</b> Kevin Muhlendorf, Partner, Wiley Rein LLP; Sally Molloy, Trial Attorney, Fraud Section, Criminal Division, U.S. DOJ	<b>P9 Ask the Stark Professionals –</b> Robert Wade, Partner, Barnes & Thornburg LLP; Lester Perling, Partner, Broad and Cassel; Daniel Melvin, Partner, McDermott Will & Emery, LLP
3:00–3:15 PM	<b>Networking Break</b>		
3:15–4:45 PM Pre-Conference Breakout Sessions	<b>P10 Hot Topics in the False Claims Act –</b> JD Thomas, Waller; Matthew Organ, Partner, Goldberg Kohn Ltd.; Sarah Bogni, Assistant U.S. Attorney, Department of Justice	<b>P11 Federal Administrative Sanctions: Exclusion &amp; Civil Money Penalties –</b> Julie Kass, Shareholder, Baker Ober Health Law; Lauren Marziani, Senior Counsel, HHS OIG	<b>P12 Damages &amp; Liability to Federal Health Programs –</b> Jonathan Diesenhaus, Partner, Hogan Lovells US LLP; Tim Renjilian, Senior Managing Director, FTI Consulting
4:45–6:15 PM	<b>Welcome Reception</b>		

## Monday, November 5: Conference

7:00–8:00 AM	<b>Continental Breakfast in Exhibit Hall</b>		
8:00–8:15 AM	<b>Opening Remarks</b>		
8:15–9:00 AM	<b>GS1 DOJ Criminal Update –</b> Joseph Beemsterboer, Chief, Healthcare Fraud Unit, Fraud Section, Criminal Division, U.S. DOJ; Sally Molloy, Trial Attorney, Fraud Section, Criminal Division, U.S. DOJ; Moderator: Gabriel Imperato, Managing Partner, Nelson Mullins Broad and Cassel		
9:00–9:30 AM	<b>GS2 DOJ Civil Update –</b> Michael Granston, Director, Commercial Litigation Branch, Fraud Section, Civil Division, U.S. DOJ		
9:30–10:00 AM	<b>Networking Break in Exhibit Hall</b>		
10:00–11:00 AM Breakout Sessions	<b>101 Tackling the Self-Disclosure Puzzle –</b> William Mathias, Shareholder, Baker Ober Health Law, Lisa M. Noller, Partner, Foley & Lardner; Kenneth Kraft, Senior Counsel, HHS OIG	<b>102 Views from the Trenches: The Government’s Opioid Fraud and Abuse Enforcement Initiatives –</b> Scott Grubman, Partner, Chilivis, Cochran, Larkins & Bever; Neeli Ben-David, Assistant United States Attorney, Northern District of Georgia; Tamala Miles, Assistant Special Agent in Charge, HHS OIG	<b>103 Anatomy of a Corporate Integrity Agreement –</b> Judy Ringholz, Vice President of Compliance and Ethics & Chief Compliance Officer, Jackson Health System; Felicia Heimer, Senior Counsel, HHS OIG
11:00–11:15 AM	<b>Networking Break in Exhibit Hall</b>		
11:15–12:15 PM Breakout Sessions	<b>201 Your Health Care Case Just Went Criminal: Why? What Should You Do Now? –</b> David Schumacher, Partner, Hooper, Lundy & Bookman, PC; Miranda Hooker, Partner, Pepper Hamilton LLP	<b>202 Enforcement Activity in the Research Context –</b> Dwight Claustre; Kristen Schwendinger, Senior Managing Consultant, Berkeley Research Group, LLC	<b>203 Wholehearted Journey to Ethics and Compliance: Applying the Resilience Work of Brené Brown to Our Professionals, Teams, and Organizations –</b> Maeve O’Neill, Vice President of Compliance, Addiction Campuses
12:15–1:15 PM	<b>Networking Lunch</b>		

## Monday, November 5: Conference

1:15–2:15 PM Breakout Sessions	<b>301 Enforcement and Defense: Point and Counterpoint</b> – Frank E. Sheeder, Partner, Alston & Bird LLP; Gerald T. Roy, VP, Chief Compliance & Privacy Officer, Phoenix Children's Hospital	<b>302 The Government Enforcement Official and Chief Compliance Officer: Learned Experiences on Pro-Active Initiatives to Mitigate and Minimize Risk</b> – Bret S. Bissey, Vice President, Chief Compliance Officer, Gateway Health; Alec Alexander, Deputy Administrator, CMS, Director, Center for Program Integrity, Centers for Medicare & Medicaid Services	<b>303 Effective Compliance with I.M.P.A.C.T</b> – Gurujodha Khalsa, Chief Deputy County Counsel, Kern County; Kristen Lilly, Manager, PYA, P.C.
2:15–2:45 PM	Networking Break in Exhibit Hall		
2:45–3:45 PM Breakout Sessions	<b>401 Managing Your Organization's Response to a Federal Government Investigation</b> – Precious M. Gittens, Partner, Hooper, Lundy & Bookman PC; Josephine N. Harriott, Deputy Compliance Officer for Health Sciences, Howard University	<b>402 Lessons Learned from a Whistleblower</b> – Barbara L. Senters, Chief Compliance & Ethics Officer, Sonic Healthcare USA; Andrea L. Treese Berlin, Senior Counsel, US Dept of Health and Human Services, OIG, Office of Counsel to the Inspector General	<b>403 Medicare Enrollment: Risk, Revocation, and Appeals</b> – Leela Baggett, Associate, Powers, Pyles, Sutter & Verville, P.C.; Andrew B. Wachler, Partner, Wachler & Associates, P.C.; Richard R. Burris, Shareholder, Polsinelli PC
3:45–4:00 PM	Networking Break		
4:00–5:00 PM	<b>GS3 Cyber Security and Risk in the Hospital Space</b> – John Riggi, Senior Advisor for Cybersecurity and Risk, American Hospital Association		
5:00–6:30 PM	Networking Reception in Exhibit Hall		

## Tuesday, November 6: Conference

7:00–8:00 AM	Continental Breakfast in Exhibit Hall		
8:00–8:15 AM	Opening Remarks		
8:15–8:45 AM	<b>GS4 OIG Update</b> – Gregory Demske, Chief Counsel to the Inspector General, HHS/OIG		
8:45–9:30 AM	<b>GS5 CMS Update / Program Integrity</b> – Alec Alexander, Deputy Administrator, CMS, Director, Center for Program Integrity, Centers for Medicare & Medicaid Services		
9:30–9:45 AM	Networking Break in Exhibit Hall		
9:45–10:45 AM Breakout Sessions	<b>501 State Enforcement Actions: Where Are They Going in Today's Enforcement Climate?</b> – Candice M. Deisher, Assistant Attorney General, Virginia Attorney General's Office; Marc S. Raspanti, Partner, Pietragallo, Gordon, Alfano, Bosick & Raspanti, LLP	<b>502 General Counsel, Outside Counsel, and Chief Compliance Officer</b> – Nicholas Merkin, CEO, Compligent; Deborah Gavron-Ravenelle, Chief Compliance Officer, Reliant Medical Group, Inc	<b>503 Conducting an Internal Investigation of a Claims Based Allegation of Non-Compliance</b> – Steven W. Ortquist, Senior Managing Director, Ankura Consulting; Jim Passey, Vice President, Chief Audit & Compliance Officer, HonorHealth
10:45–11:15 AM	Networking Break: LAST CHANCE TO VISIT THE EXHIBIT HALL		
11:15–12:15 PM Breakout Sessions	<b>601 When the Other Brother Steps Up: State Privacy Breach Enforcement Actions</b> – Blaine A. Kerr, Chief Privacy Officer, Jackson Health System; Gregory V. Kerr, Managing Director, Ankura Consulting Group, LLC; Juan Carlos Palacio, Associate Director, Jackson Health System	<b>602 What to Do When the Government Comes Knocking: An Insider Perspective on Government Subpoenas</b> – Jason Mehta, Partner, Bradley; Kyle Cohen, Assistant US Attorney	<b>603 340B Program Compliance: Pitfalls, Disclosures, and Best Practices</b> – Cindy K. Bartlett, VP Corporate Responsibility, Bon Secours; Barbara Williams, Principal, Powers
12:15–1:15 PM	Networking Lunch		
1:15–2:15 PM Breakout Sessions	<b>701 Navigating the Changing Regulatory and Enforcement Landscape Relating to Opioids</b> – Anna M. Grizzle, Partner, Bass, Berry & Sims PLC; Jerry Williamson, Healthcare Consultant	<b>702 Organization &amp; Individual Liability: Strategies for Managing Risk</b> – Thomas Moran, Attorney, Nelson Mullins; Gabriel Imperato, Managing Partner, Nelson Mullins Broad and Cassel	<b>703 Three Competing Perspectives on Federal Health Care Enforcement Trends: Federal Prosecutor, In-House Counsel, Outside Counsel</b> – Scott McBride, Partner, Lowenstein Sandler, LLP; Joseph Mack, Senior Compliance Counsel, Bayer U.S.; Bernard Cooney, Assistant United States Attorney, Office of the United States Attorney, District of New Jersey
2:15–2:30 PM	Networking Break		
2:30–3:30 PM Breakout Sessions	<b>801 Recent Developments in Individual Criminal and Civil Culpability</b> – Gejaa Gobena, Partner, Hogan Lovells LLP; Laura Cordova, Partner, Crowell & Moring LLP; Edward Croke, Assistant Director, Civil Division, U.S. DOJ; Kathleen McGovern, Principal, Ernst & Young	<b>802 Compliance Education and Enforcement: Engaging Leadership Amid Government Scrutiny</b> – Robert Rabecs, Partner, Husch Blackwell LLP; Katherine Matos, Senior Counsel, U.S. Dept. of Health & Human Services, Office of Inspector General	<b>803 Being an Excellent Compliance Officer: Tried and True Techniques in this Tough Enforcement Environment</b> – Frank E. Sheeder, Partner, Alston & Bird LLP; James Hearty, Chief Compliance Officer, DaVita Kidney Care
3:30–3:45 PM	Networking Break		
3:45–4:45 PM	<b>GS6 Enforcement and the Opioid Crisis Discussion</b> – Moderator: Jason Mehta, Partner, Bradley; Gary Cantrell, Deputy Inspector General for Investigations, OIG HHS; Edward Croke, Assistant Director, Civil Division, U.S. DOJ; Mary Daly, Associate Deputy Attorney General and Director of Opioid Enforcement and Prevention, U.S. DOJ		

## Wednesday, November 7: Post-Conference

8:30–10:00 AM Post-Conference Breakout Sessions	<b>W1 Trying a False Claims Act (FCA) <i>Qui Tam</i> Case</b> – Mike Bittman, Partner, Broad & Cassel; Greg Luce, Partner, Skadden, Arps, Slate, Meagher & Flom LLP	<b>W2 Cybersecurity and Privacy Risks that Create Enforcement and Other Exposure</b> – Marti Arvin, Vice President, Audit Strategy, CynergisTek, Inc.; Joan Podleski, Chief Privacy Officer, Children’s Health System of Texas; Timothy Noonan, Acting Deputy Director, Health Information Privacy Division, U.S. Department of Health and Human Services Office for Civil Rights	<b>W3 What Makes a Compliance Program Effective?</b> – Judy A. Ringholz, Vice President of Compliance and Ethics & Chief Compliance Officer, Jackson Health System; Thomas Beimers, Partner, Hogan Lovells; Felicia Heimer, Senior Counsel, HHS OIG
10:00–10:15 AM	Networking Break		
10:15–11:45 AM Post-Conference Breakout Sessions	<b>W4 Opioid Crisis: Overprescribing Doctors and Senior Living</b> – Judith Coffey, Director of Compliance and Counsel, Managed Health Services; Timothy McClure, Manager of Special Investigation Unit, Managed Health Services; Brittany Pape, Director of Compliance, Senior Lifestyle Corporation	<b>W5 Compensation, Arrangements, Stark, Anti-Kickback Statute, and Fair Market</b> – David M. Glaser, Shareholder, Fredrikson & Byron PA; Joan W. Feldman, Partner, Shipman & Goodwin LLP	<b>W6 False Claims Act Developments</b> – Moderator: Jonathan Diesenhaus, Partner, Hogan Lovells US LLP; Tejinder Singh, Partner, Goldstein & Russell, P.C.; David Wiseman, Civil Fraud Branch, Civil Fraud Section, US DOJ; Laurence J. Freedman, Member, Mintz
11:45 AM–12:30 PM	Lunch (on your own)		
12:45–1:00 PM	CHC Exam Check-in		
1:00–3:30 PM	Certified in Healthcare Compliance (CHC) <sup>®</sup> exam (optional)		

## Continuing Education Units

HCCA is in the process of applying for additional external continuing education units (CEUs). Should overall number of education hours decrease or increase, the maximum number of CEUs available will be changed accordingly. Credits are assessed based on actual attendance and credit type requested.

Approval quantities and types vary by state or certifying body. For entities that have granted prior approval for this event, credits will be awarded in accordance with their requirements. **CEU totals are subject to change.**

Upon request, if there is sufficient time and we are able to meet their requirements, HCCA may submit this course to additional states or entities for consideration. If you would like to make a request, please contact us at +1 952.988.0141 or 888.580.8373 or email [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org).

Visit HCCA’s website, [hcca-info.org](http://hcca-info.org), for up-to-date information.

**AAPC:** This program has the prior approval of the AAPC for **21.5** continuing education hours. Granting of prior approval in no way constitutes endorsement by AAPC of the program content or the program sponsor.

**ACHE:** The Health Care Compliance Association is authorized to award **21.0** clock hours of pre-approved ACHE Qualified Education credit for this program toward advancement, or recertification, in the American College of Healthcare Executives. Participants in this program who wish to have the continuing education hours applied toward ACHE Qualified Education credit must self-report their participation. To self-report, participants must log into their MyACHE account and select ACHE Qualified Education Credit.

**AHIMA:** This program has been approved for a total of **21.5** continuing education unit(s) (CEUs). The CEUs are acceptable for use in fulfilling the continuing education requirements of the American Health Information Management Association (AHIMA). Granting prior approval from AHIMA does not constitute endorsement of the program content or its program sponsor.

**Compliance Certification Board (CCB)<sup>®</sup>:** CCB has awarded a maximum of **25.8** CEUs for these certifications: Certified in Healthcare Compliance (CHC)<sup>®</sup>, Certified in Healthcare Compliance– Fellow (CHC-F)<sup>®</sup>, Certified in Healthcare Privacy Compliance (CHPC)<sup>®</sup>, Certified in Healthcare Research Compliance (CHRC)<sup>®</sup>, Certified Compliance & Ethics Professional (CCEP)<sup>®</sup>, Certified Compliance & Ethics Professional–Fellow (CCEP-F)<sup>®</sup>, Certified Compliance & Ethics Professional–International (CCEP-I)<sup>®</sup>.

**Continuing Legal Education (CLE):** The Health Care Compliance Association is a provider/sponsor, approved/accredited by the State Bar of California, the Pennsylvania Bar Association, and the State Bar of Texas. An approximate maximum of **21.5** clock hours of CLE credit will be available to attendees of this conference licensed in these states, along with Alabama, Florida, Illinois, Louisiana, Ohio, and Rhode Island. HCCA’s practice is to apply for CLE credits to the state in which the event is being held, if that state has a CLE approval process. Upon request, if there is sufficient time and if we are able to meet their CLE requirements, HCCA may submit this course to additional states for consideration. All CLE credits will be assessed based on actual attendance and in accordance with each state’s requirements.

**NASBA/CPE:** The Health Care Compliance Association is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors, Sponsor Identification No: 105638. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: [www.nasbaregistry.org](http://www.nasbaregistry.org). The education level for this activity is considered basic. No prerequisites are required for this education. Delivery Method: Group Live. Advanced Preparation: None. A recommended maximum of **25.5** credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge and Application. For more information regarding administrative policies such as complaints or refunds, call 888.580.8373 or +1 952.988.0141.

**Nursing Credit:** The Health Care Compliance Association is preapproved by the California Board of Registered Nursing, Provider Number CEP 14593, for a maximum of **25.8** contact hour(s). The following states will not accept California Board of Registered Nursing contact hours: Delaware, Florida, New Jersey and Utah. Massachusetts and Mississippi nurses may submit California Board of Registered Nursing contact hours to their state board, but approval will depend on review by the board. Please contact the Accreditation Department at [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org) with any questions you may have. Oncology nurses who are certified by ONCC may request California nursing credit (check box or indicate “Nursing” on the CEU form).

## SUNDAY, NOVEMBER 4 PRE-CONFERENCE

9:00 – 10:30 AM

### PRE-CONFERENCE BREAKOUTS

#### P1 Anatomy of False Claims Act Case



*Moderator: Katherine Lauer, Partner, Latham & Watkins, LLP*



*Amy Easton, Phillips and Cohen LLP*

*Laura Ellis, Senior Counsel, HHS OIG*

*Amy D. Kossak, Trial Attorney, Fraud Section, Civil Division, U.S. DOJ*

- The Investigation Phase: subpoenas/ CIDs, data analysis, witness interviews and more
- The Negotiation Phase: initiation, ADR, scope of release, monetary and other issues
- The Resolution Phase: settlement agreements, individual liability, CIAs/ OIG and relator issues

#### P2 A Data Breach Lived and Learned: Practical Tips for Your Response and HHS OCR's Review



*Lisa S. Rivera, Partner, Bass, Berry & Sims PLC*



*John M. Bailey, HIPAA Privacy Officer, St Jude Children's Research Hospital*

- Assessing your current breach response team and plan
- Learn from others' (or your own) mistakes—some current issues and resulting actions, both by the government and in privacy suits
- Know HHS OCR's perspective before, during and after a breach

#### P3 2018 OIG Administrative Enforcement Update



*David M. Blank, Partner, Quarles & Brady LLP*

*Mariel Filtz, Program Analyst, HHS OIG*

- Overview of the OIG's enforcement priorities for 2018 and beyond
- Discussion of recent OIG civil monetary penalties resolutions
- The impact of OIG's enforcement on providers and how to implement the most effective compliance measures to address the OIG's enforcement priorities

10:30 – 10:45 AM

#### Break

10:45 – 12:15 PM

### PRE-CONFERENCE BREAKOUTS

#### P4 Litigating a False Claims Act Case



*John T. Boese, Of Counsel, Fried, Frank, Harris, Shriver & Jacobson LLP*



*Robert Vogel, Partner, Vogel, Slade & Goldstein*



*Latour "LT" Lafferty, Partner, Holland & Knight*

*Ben Wei, Senior Trial Counsel, Fraud Section, Civil Division, U.S. DOJ*

- Common motions in FCA litigation
- Proving/disproving falsity, knowledge and damages after Escobar
- Discovery and trial issues in intervened vs. non-intervened cases
- Role of individual defendants in FCA litigation

#### P5 Kickback and Stark Law Developments



*Charles Oppenheim, Partner, Hooper Lundy Bookman*



*Marlan Wilbanks, Senior Partner, Wilbanks and Gouinlock LLP*



*Scott Withrow, Partner, Withrow, McQuade & Olsen, LLP*

- Overview of key statutory terms and exceptions for anti-kickback statute
- Overview of key statutory terms and exceptions for Stark law
- Overview of enforcement trends and recent noteworthy decisions

#### P6 Enforcement & Compliance Home Health, Hospice and Nursing Homes



*Kathleen A. Hessler, Director, Compliance, Simone Healthcare Consultants, LLC*



*Richard Y. Cheng, Member, Dykema*



*David Beck, Chief Compliance Officer, Sava Senior Care*

- Identify what's hot in the enforcement environment for post-acute care providers
- Learn the life cycle of a corporate integrity agreement (CIA): initiation, negotiation, implementation, management and graduation
- Discuss requirements for an Independent Review Organization (IRO) and roles of the provider, outside counsel and IRO
- Examine potential impacts of a CIA on the provider's financial and clinical operations, its compliance activities, and the post-graduate effects

12:15 – 1:30 PM

#### Lunch on Own

12:30 – 1:15 PM

#### Speed Networking

*Pre-registration is required. Space is limited. A boxed lunch will be provided to session participants. NOTE: SpeedNetworking is not eligible for Continuing Education Units (CEUs).*

1:30 – 3:00 PM

## PRE-CONFERENCE BREAKOUTS

### P7 Negotiating False Claims Act Settlements and Mediation



*Moderator: Gabriel Imperato, Managing Partner, Nelson Mullins Broad and Cassel*



*Steve Altman, Principal, Altman Dispute Resolution Services*

*Patricia L. Hanower, Assistant Director, Civil Division, U.S. DOJ*



*Michael A. Morse, Partner, Pietragallo Gordon Alfano Bosick & Raspanti, LLP*

- Strategies for responding to False Claims Act cases and negotiating or mediating a resolution with or without the Department of Justice
- Internal investigations and negotiated or mediated False Claims Act matters
- Negotiation and/or mediation of parallel False Claims Act (i.e. Medicare, Medicaid and Tri-Care) liability and administrative exclusion and licensure disciplinary matters

### P8 Handling a Criminal Healthcare Fraud Case

*Kevin Muhlendorf, Partner, Wiley Rein LLP*

*Sally Molloy, Trial Attorney, Fraud Section, Criminal Division, U.S. DOJ*

- Investigative interviewing techniques
- The use of Big Data
- Emerging trends in criminal healthcare fraud

### P9 Ask the Stark Professionals



*Robert Wade, Partner, Barnes & Thornburg LLP*



*Lester Perling, Partner, Broad and Cassel*



*Daniel Melvin, Partner, McDermott Will & Emery, LLP*

- Very brief overview of the Stark Law
- Respond to pre-submitted & live questions regarding case examples of the application of the Stark Law

3:00 – 3:15 PM

## Break

3:15 – 4:45 PM

## PRE-CONFERENCE BREAKOUTS

### P10 Hot Topics in the False Claims Act



*JD Thomas, Waller*



*Matthew Organ, Partner, Goldberg Kohn Ltd.*



*Sarah Bogni, Assistant U.S. Attorney, Department of Justice*

- A broad-based panel offering practical perspectives from government, relators, and defense attorneys on recent developments in the investigation, prosecution, and defense of False Claims Act cases
- A survey of the real-world effect of recent DOJ guidance, including the Brand Memo regarding the use of agency guidance documents, the Granston Memo regarding the dismissal of non-intervened qui tams, and the Yates Memo
- Updates on other hot topics in False Claims Act enforcement for healthcare providers, including cases involving medical necessity, Medicare managed care, and Stark

### P11 Federal Administrative Sanctions: Exclusion & Civil Money Penalties



*Julie Kass, Shareholder, Baker Ober Health Law*

*Lauren Marziani, Senior Counsel, HHS OIG*

- Affirmative Exclusions and CMPs: Enforcement Trends and Recent Settlements
- Intersection with DOJ Investigations, CMS Audits, and Other Parallel Proceedings
- Compliance Measures that Mitigate Against Liability

### P12 Damages & Liability to Federal Health Programs



*Jonathan Diesenhaus, Partner, Hogan Lovells US LLP*



*Tim Renjilian, Senior Managing Director, FTI Consulting*

- Discuss key concepts in the calculation of damages related to Federal health care programs
- Address ongoing issues and debates involving damage calculations
- Analyze newly emerging damage theories from recent settlements and what their implications are

4:45 – 6:15 PM

## Welcome Reception

## MONDAY, NOVEMBER 5 CONFERENCE

7:00 – 8:00 AM

### Continental Breakfast in Exhibit Hall

8:00 – 8:15 AM

### Opening Remarks

8:15 – 9:00 AM

### GS1 DOJ Criminal Update

*Joseph Beemsterboer, Chief, Healthcare Fraud Unit, Fraud Section, Criminal Division, U.S. DOJ*

*Sally Molloy, Trial Attorney, Fraud Section, Criminal Division, U.S. DOJ*



*Moderator: Gabriel Imperato, Managing Partner, Nelson Mullins Broad and Cassel*

9:00 – 9:30 AM

### GS2 DOJ Civil Update



*Michael Granston, Director, Commercial Litigation Branch, Fraud Section, Civil Division, U.S. DOJ*

9:30 – 10:00 AM

### Networking Break in Exhibit Hall

10:00 – 11:00 AM

## BREAKOUT SESSIONS

### 101 Tackling the Self-Disclosure Puzzle



*William Mathias, Shareholder, Baker Ober Health Law*



*Lisa M. Noller, Partner, Foley & Lardner*



*Kenneth Kraft, Senior Counsel, HHS OIG*

- Practical advice on when, where, and how to make self-disclosures
- Discuss pros and cons of making disclosures to DOJ/USAO vs. OIG/CMS vs. contractor
- Explore alternative approaches to real world hypotheticals

## 102 Views from the Trenches: The Government’s Opioid Fraud and Abuse Enforcement Initiatives



*Scott Grubman, Partner, Chilivis, Cochran, Larkins & Bever*

*Neeli Ben-David, Assistant United States Attorney, Northern District of Georgia*

*Tamala Miles, Assistant Special Agent in Charge, HHS OIG*

- A discussion of the government’s enforcement initiatives related to opioid fraud and abuse
- Varying viewpoints from both a current Assistant United States Attorney and a defense attorney related to opioid investigations
- Proactive tips on how healthcare providers can avoid a costly investigation

## 103 Anatomy of a Corporate Integrity Agreement



*Judy Ringholz, Vice President of Compliance and Ethics & Chief Compliance Officer, Jackson Health System*



*Felicia Heimer, Senior Counsel, HHS OIG*

- Why do health care organizations enter into CIAs?
- Common and recent features of CIAs
- Implementing CIA obligations and OIG oversight

## 11:00 – 11:15 AM Networking Break

## 11:15 AM – 12:15 PM BREAKOUT SESSIONS

### 201 Your Health Care Case Just Went Criminal: Why? What Should You Do Now?



*David Schumacher, Partner, Hooper, Lundy & Bookman, PC*



*Miranda Hooker, Partner, Pepper Hamilton LLP*

- Criminal prosecutions of health care providers have exploded in recent years. In this session, a health care fraud prosecutor and a former prosecutor-turned-defense-attorney explain why prosecutors take a health care case to criminal prosecution
- The speakers will discuss the ramifications of a criminal investigation/indictment and provide tips for compliance professionals and counsel on navigating the investigation and advocating their client’s case to the DOJ
- The speakers will devote special attention to opioid-related enforcement initiatives, including the new DOJ task forces and recent indictments and trials, which affect virtually every health care provider. Proactive compliance tips will also be discussed

### 202 Enforcement Activity in the Research Context



*Dwight Claustre*



*Kristen Schwendinger, Senior Managing Consultant, Berkeley Research Group, LLC*

- Review recent research laws and regulations
- Overview of recent DOJ and OIG enforcement actions
- Outline of enforcement activity by other research agencies (OHRP, ORI, NIH, FDA)

### 203 Wholehearted Journey to Ethics and Compliance: Applying the Resilience Work of Brené Brown to Our Professionals, Teams, and Organizations



*Maeve O’Neill, Vice President of Compliance, Addiction Campuses*

- Compliance is a tough job and keeping up with industry standards, laws and regulations requires continuous personal and professional development
- We can build resilience with increased self-awareness, authenticity and vulnerability
- Building organizations that engage staff, empower teams and enhance organizational culture will make the Compliance job more enjoyable!

## 12:15 – 1:15 PM Networking Lunch

## 1:15 – 2:15 PM BREAKOUT SESSIONS

### 301 Enforcement and Defense: Point and Counterpoint



*Frank E. Sheeder, Partner, Alston & Bird LLP*



*Gerald T. Roy, VP, Chief Compliance & Privacy Officer, Phoenix Children’s Hospital*

- Healthy discourse between a former HHS-OIG official and a compliance and defense lawyer
- Contrasting, conflicting, and consistent perspectives
- Practical advice on how enforcers and providers can work with each other

### 302 The Government Enforcement Official and Chief Compliance Officer: Learned Experiences on Pro-Active Initiatives to Mitigate and Minimize Risk



*Bret S. Bissey, Vice President, Chief Compliance Officer, Gateway Health*



*Alec Alexander, Deputy Administrator, CMS, Director, Centers for Medicare & Medicaid Services*

- Board Governance is a key element of a compliance program. Learn of proactive activities that can be taken to demonstrate that this is working as recommended by OIG-DHHS including making sure the Board is always involved and aware of their obligations.
- Every investigation/settlement has a history of something that could have been done differently or maybe in a more proactive manner. Hear from the speakers about applicable cases, settlements and investigations where proactive actions were missed.
- The negotiation activities which surround a CIA or Settlement Agreement can be stressful and potentially result in an agreement which contains flaws or inaccuracies. What elements is the government looking for? Who is best to negotiate for providers?



## 303 Effective Compliance with I.M.P.A.C.T



*Gurujodha Khalsa, Chief Deputy County Counsel, Kern County*



*Kristen Lilly, Manager, PYA, P.C.*

- Using Intention, Meditation, Projection, Action, Commitment and Trust
- Setting Goals, Building the Inner and Outer Skills to Create Effective Compliance
- Using Human Technology to Enhance Compliance Capability

2:15 – 2:45 PM

## Networking Break in Exhibit Hall

2:45 – 3:45 PM

## BREAKOUT SESSIONS

### 401 Managing Your Organization's Response to a Federal Government Investigation



*Precious M. Gittens, Partner, Hooper, Lundy & Bookman PC*



*Josephine N. Harriott, Deputy Compliance Officer for Health Sciences, Howard University*

- Panelists will review federal directives and guidance related to internal investigations, including the U.S. Sentencing Commission's Guidelines Manual, the U.S. Attorneys' Manual and the Yates Memo
- This presentation will examine ethical and practical issues encountered by in-house and outside counsel during government enforcement actions
- This panel will discuss best practices for conducting internal investigations, and pitfalls to avoid

### 402 Lessons Learned from a Whistleblower



*Barbara L. Senters, Chief Compliance & Ethics Officer, Sonic Healthcare USA*



*Andrea L. Treese Berlin, Senior Counsel, US Dept of Health and Human Services, OIG, Office of Counsel to the Inspector General*

- Hear the story and what we learned when my former company's leaders met with the whistleblower (after the CIA)
- Review strategies to use your culture to prevent a whistleblower
- Discuss how to know if your compliance program is really effective-and how to improve effectiveness

## 403 Enforcement of Medicare Enrollment Requirements: Risk Areas, Compliance, and Appeals



*Leela Baggett, Associate, Powers, Pyles, Sutter & Verville, P.C.*



*Andrew B. Wachler, Partner, Wachler & Associates, P.C.*



*Richard R. Burris, Shareholder, Polsinelli PC*

- Identifying key enrollment risk areas that all current and prospective Medicare providers need to be aware of, along with practical tips and proactive compliance measures to avoid common enrollment pitfalls
- Dissecting the reasons for revocation and deactivation
- Navigating through the Medicare enrollment appeals process, including successful appeal strategies for defending against enrollment revocations and denials
- Collateral consequences to adverse enrollment actions, as well as the interplay between provider enrollment, claims audits, and False Claims Act liability in today's Medicare landscape

3:45 – 4:00 PM

## Networking Break

4:00 – 5:00 PM

### GS3 Cyber Security and Risk in the Hospital Space



*John Riggi, Senior Advisor for Cybersecurity and Risk, American Hospital Association*

5:00 – 6:30 PM

## Networking Reception in Exhibit Hall

## TUESDAY, NOVEMBER 6 CONFERENCE

7:00 – 8:00 AM

### Continental Breakfast in Exhibit Hall

8:00 – 8:15 AM

### Opening Remarks

8:15 – 8:45 AM

### GS4 OIG Update



*Gregory Demske, Chief Counsel to the Inspector General, HHS/OIG*

8:45 – 9:30 AM

### GS5 CMS Update/ Program Integrity



*Alec Alexander, Deputy Administrator, CMS, Director, Center for Program Integrity, Centers for Medicare & Medicaid Services*

9:30 – 9:45 AM

### Networking Break in Exhibit Hall

9:45 – 10:45 AM

## BREAKOUT SESSIONS

### 501 State Enforcement Actions: Where Are They Going in Today's Enforcement Climate?



*Candice M. Deisher, Assistant Attorney General, Virginia Attorney General's Office*



*Marc S. Raspanti, Partner, Pietragallo, Gordon, Alfano, Bosick & Raspanti, LLP*

- The future of state healthcare fraud investigations
- What cases are whistleblowers currently filing?
- Oh no! The state is investigating us! Best practices for fielding a government investigation

## 502 General Counsel, Outside Counsel, and Chief Compliance Officer



*Nicholas Merkin, CEO, Compliagent*



*Deborah Gavron-Ravenelle, Chief Compliance Officer, Reliant Medical Group, Inc*

- The rise of mandatory compliance obligations has led to ambiguity concerning the roles and responsibilities of legal and compliance professionals, often leading to tensions and internal misalignment within healthcare organizations
- Through real life examples from speakers who have served in the role of CCO and counsel to healthcare organizations, this presentation will elucidate the differing roles and goals of the compliance and legal functions
- The session will conclude with the presentation of a model for effective collaboration among an organization's CCO, General Counsel, and outside counsel

## 503 Conducting an Internal Investigation of a Claims Based Allegation of Non-Compliance



*Steven W. Ortquist, Senior Managing Director, Ankura Consulting*



*Jim Passey, Vice President, Chief Audit & Compliance Officer, HonorHealth*

- Planning the Investigation: An Overview of Key Investigative Tactics
- Structuring and Conducting an Issue-Appropriate Audit of Claims Issues
- Managing the Findings of an Investigation

10:45 – 11:15 AM

## Networking Break: LAST CHANCE TO VISIT THE EXHIBIT HALL

11:15 AM – 12:15 PM  
BREAKOUT SESSIONS

### 601 When the Other Brother Steps Up: State Privacy Breach Enforcement Actions



*Blaine A. Kerr, Chief Privacy Officer, Jackson Health System*



*Gregory V. Kerr, Managing Director, Ankura Consulting Group, LLC*



*Juan Carlos Palacio, Associate Director, Jackson Health System*

- A discussion of state privacy enforcement actions and the trends at the state and multistate level
- Strategic insights to navigate potential state enforcement liability when faced with privacy breaches
- Methods to review and revise privacy strategies to more effectively address state breach notification requirements

### 602 What to Do When the Government Comes Knocking: An Insider Perspective on Government Subpoenas



*Jason Mehta, Partner, Bradley*

*Kyle Cohen, Assistant US Attorney*

- A current AUSA (Kyle Cohen, MDLJ) and former AUSA (Jason Mehta) discuss government investigations, including issuance of Civil Investigative Demands, administrative subpoenas, and other enforcement tools
- Practical advice on how best to respond to the government, how to facilitate early and on-going cooperation, how to defend your interests while also being responsive to the government, and how to negotiate successful resolutions
- Presentation will include a primer on government investigation origins, enforcement tools available to government investigators, possible outcomes of investigations, as well as opportunities for participants to ask questions

## 603 340B Program Compliance: Pitfalls, Disclosures, and Best Practices



*Cindy K. Bartlett, VP Corporate Responsibility, Bon Secours*



*Barbara Williams, Principal, Powers*

- Overview of 340B program requirements
- What to do if a compliance issue is discovered
- Compliance pitfalls and best practices

12:15 – 1:15 PM

## Networking Lunch

1:15 – 2:15 PM

BREAKOUT SESSIONS

### 701 Navigating the Changing Regulatory and Enforcement Landscape Relating to Opioids



*Anna M. Grizzle, Partner, Bass, Berry & Sims PLC*



*Jerry Williamson, Healthcare Consultant,*

- Overview of current regulatory and enforcement landscape related to the opioid epidemic and potential risks for physicians and other healthcare providers and facilities, including review of recent enforcement actions and initiatives
- Physician's perspective on balancing the current enforcement environment with quality patient care and tips for providers to stay up to date on regulatory changes
- Practical advice from medical and legal perspectives on navigating the changing enforcement minefield and developing compliance processes to protect against potential issues

## 702 Organization & Individual Liability: Strategies for Managing Risk

 *Thomas Moran, Attorney, Nelson Mullins*

 *Gabriel Imperato, Managing Partner, Nelson Mullins Broad and Cassel*

- Analyzing DOJ policy on individual liability for decision makers
- Trends in individual enforcement of fraud and abuse laws
- Possible protections and best practices for individuals

## 703 Three Competing Perspectives on Federal Health Care Enforcement Trends: Federal Prosecutor, In-House Counsel, Outside Counsel

 *Scott McBride, Partner, Lowenstein Sandler, LLP*

 *Joseph Mack, Senior Compliance Counsel, Bayer U.S.*

 *Bernard Cooney, Assistant United States Attorney, Office of the United States Attorney, District of New Jersey*

- The panel will discuss current enforcement trends in the federal health care arena. Topics will include FCPA enforcement, as well as developments in False Claims Act litigation (including the Granston and Brand Memoranda)
- Subjects will also include recent developments in First Amendment law in the off-label marketing space, as well as anti-kickback statute and related safe harbor developments
- The panel will additionally explore other potential new hot enforcement areas

2:15 – 2:30 PM

## Networking Break

2:30 – 3:30 PM

## BREAKOUT SESSIONS

### 801 Recent Developments in Individual Criminal and Civil Culpability

 *Gejaa Gobena, Partner, Hogan Lovells LLP*

*Laura Cordova, Partner, Crowell & Moring LLP*

*Edward Crooke, Assistant Director, Civil Division, U.S. DOJ*

 *Kathleen McGovern, Principal, Ernst & Young*

- In September 2015, then-Deputy Attorney General Sally Yates issued a memorandum directing the Department of Justice to focus on individual culpability when evaluating white collar criminal and civil cases
- This panel of current and former government prosecutors will discuss the Department's enforcement activity with respect to individuals over the past several years, with a particular focus on interesting developments in civil enforcement matters
- The panel will discuss specific pleas or resolutions in civil and criminal white collar cases in the past few years and the factors the government takes into account when addressing individual accountability

### 802 Compliance Education and Enforcement: Engaging Leadership Amid Government Scrutiny

 *Robert Rabecs, Partner, Husch Blackwell LLP*

 *Katherine Matos, Senior Counsel, U.S. Dept. of Health & Human Services, Office of Inspector General*

- Elements of an effective compliance program
- Oversight responsibilities for healthcare governing boards
- Recent enforcement actions and court decisions involving board member and manager liability

### 803 Being an Excellent Compliance Officer: Tried and True Techniques in this Tough Enforcement Environment

 *Frank E. Sheeder, Partner, Alston & Bird LLP*

 *James Hearty, Chief Compliance Officer, DaVita Kidney Care*

- Defining the Compliance Officer's role
- Leveraging organizational resources
- Converting the naysayers to compliance champions

3:30 – 3:45 PM

## Networking Break

3:45 – 4:45 PM

### GS6 Enforcement and the Opioid Crisis Discussion

 *Moderator: Jason Mehta, Partner, Bradley*

 *Gary Cantrell, Deputy Inspector General for Investigations, OIG HHS*

*Edward Crooke, Assistant Director, Civil Division, U.S. DOJ*

*Mary Daly, Associate Deputy Attorney General and Director of Opioid Enforcement and Prevention, U.S. DOJ*

## WEDNESDAY, NOVEMBER 7 POST-CONFERENCE

8:30–10:00 AM

### POST-CONFERENCE BREAKOUTS

#### W1 Trying a False Claims Act (FCA) Qui Tam Case



*Mike Bittman, Partner, Broad & Cassel*



*Greg Luce, Partner, Skadden, Arps, Slate, Meagher & Flom LLP*

- Understand the FCA qui tam Law as a foundation for learning litigation tactics and defense strategy;
- Explore strategy and tactics to proactively prevent, investigate and defend FCA complaints and DOJ/Relator enforcement actions; and
- Learn tips, best practices and lessons from recent FCA enforcement actions.

#### W2 Cybersecurity and Privacy Risks that Create Enforcement and Other Exposure



*Marti Arvin, Vice President, Audit Strategy, CynergisTek, Inc.*



*Joan Podleski, Chief Privacy Officer, Children's Health System of Texas*



*Timothy Noonan, Acting Deputy Director, Health Information Privacy Division, U.S. Department of Health and Human Services Office for Civil Rights*

- Discussion of the evolution of cybersecurity and privacy risks in healthcare and its unique challenges
- Discussion of the maturity of cybersecurity and privacy compliance in healthcare organizations
- OCR Phase II audit results and why they demonstrate the continued lack of focus on cybersecurity and privacy by healthcare

#### W3 What Makes a Compliance Program Effective?



*Judy A. Ringholz, Vice President of Compliance and Ethics & Chief Compliance Officer, Jackson Health System*



*Thomas Beimers, Partner, Hogan Lovells*



*Felicia Heimer, Senior Counsel, HHS OIG*

- Review recent DOJ and OIG guidance and discuss the role of board and compliance committee members
- Discuss areas of focus for a compliance program and how to prioritize compliance initiatives and work plan items
- Suggest measures by which the effectiveness of a compliance program can be determined

10:00–10:15 AM

### Networking Break

10:15–11:45 AM

### POST-CONFERENCE BREAKOUTS

#### W4 Opioid Crisis: Overprescribing Doctors and Senior Living



*Judith Coffey, Director of Compliance and Counsel, Managed Health Services*



*Timothy McClure, Manager of Special Investigation Unit, Managed Health Services*



*Brittany Pape, Director of Compliance, Senior Lifestyle Corporation*

- Protection measures against opioid abuse in senior living
- Overprescribing doctors—what to do? Education, prepayment review, audit, suspension, termination, referrals, reporting and more
- Member consequences after actions against overprescribing doctors

#### W5 Compensation, Arrangements, Stark, Anti-Kickback Statute, and Fair Market



*David M. Glaser, Shareholder, Fredrikson & Byron PA*



*Joan W. Feldman, Partner, Shipman & Goodwin LLP*

- What are fair market valuations? How are they determined? Why FMV determinations are important: underlying legal concepts and consequences of getting it wrong (Stark, anti-kickback, and tax-exempt law)
- Understand why many salary surveys are deeply flawed and other reasons you may not have the Stark problem you think you do
- Best practices: providers' current approaches, recent developments and common mistakes/misconceptions with FMV models and the risks they present

#### W6 False Claims Act Developments



*Moderator: Jonathan Diesenhaus, Partner, Hogan Lovells US LLP*



*Tejinder Singh, Partner, Goldstein & Russell, P.C.*

*David Wiseman, Civil Fraud Branch, Civil Fraud Section, US DOJ*

*Laurence J. Freedman, Member, Mintz*

- Post Escobar and liability under the False Claims Act
- The relationship between “materiality” under Escobar and a Department of Justice intervention decision
- Proving or disproving “medical necessity” in False Claims Act cases
- False Claims Act damages

11:45–12:30 PM

### Lunch on Own

12:45–1:00 PM

### CHC Exam Check-in

1:00–3:30 PM

### Certified in Healthcare Compliance (CHC)<sup>®</sup> Exam (optional)

*The CHC exam is optional. You must register in advance to sit for the exam. The cost of the exam is not included in the conference registration fee. To register for the exam, complete the date-specific exam application and mail or fax as directed on the form. For a link to the exam application, visit [hcca-info.org/hecc](http://hcca-info.org/hecc).*

Full name \_\_\_\_\_  
 please type or print

Sharing your demographic information with HCCA will help us create better networking opportunities for you. Thank you for taking a moment to fill out the following information.

## Demographic Information

What is your functional job title? Please select one.

- |  |  |
|--|--|
| <input type="checkbox"/> Academic/Professor          | <input type="checkbox"/> Consultant                            |
| <input type="checkbox"/> Administration              | <input type="checkbox"/> Controller                            |
| <input type="checkbox"/> Asst Compliance Officer     | <input type="checkbox"/> Ethics Officer                        |
| <input type="checkbox"/> Attorney (In-House Counsel) | <input type="checkbox"/> Executive Director                    |
| <input type="checkbox"/> Attorney (Outside Counsel)  | <input type="checkbox"/> General Counsel                       |
| <input type="checkbox"/> Audit Analyst               | <input type="checkbox"/> HIM Professional                      |
| <input type="checkbox"/> Audit Manager/Officer       | <input type="checkbox"/> HIPAA/Privacy Officer                 |
| <input type="checkbox"/> Billing Manager/Officer     | <input type="checkbox"/> Human Resources                       |
| <input type="checkbox"/> Charger Master              | <input type="checkbox"/> Medical Director                      |
| <input type="checkbox"/> Chief Compliance Officer    | <input type="checkbox"/> Nurse                                 |
| <input type="checkbox"/> CEO/President               | <input type="checkbox"/> Nurse Manager                         |
| <input type="checkbox"/> Chief Financial Officer     | <input type="checkbox"/> Patient Safety Officer                |
| <input type="checkbox"/> Chief Information Officer   | <input type="checkbox"/> Pharmacy Director                     |
| <input type="checkbox"/> Chief Medical Officer       | <input type="checkbox"/> Physician                             |
| <input type="checkbox"/> Chief Operating Officer     | <input type="checkbox"/> Quality Assurance/<br>Quality of Care |
| <input type="checkbox"/> Clinical                    | <input type="checkbox"/> Regulatory Officer                    |
| <input type="checkbox"/> Coder                       | <input type="checkbox"/> Reimbursement Coordinator             |
| <input type="checkbox"/> Compliance Analyst          | <input type="checkbox"/> Research Analyst                      |
| <input type="checkbox"/> Compliance Coordinator      | <input type="checkbox"/> Risk Manager                          |
| <input type="checkbox"/> Compliance Director         | <input type="checkbox"/> Trainer/Educator                      |
| <input type="checkbox"/> Compliance Fraud Examiner   | <input type="checkbox"/> Vice President                        |
| <input type="checkbox"/> Compliance Officer          | <input type="checkbox"/> Other (please list below)             |
| <input type="checkbox"/> Compliance Specialist       |  |

List others not listed here:

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*Registration continues on next page (turn over)*

What is your primary health care entity?

- |   |   |
|---|---|
| <input type="checkbox"/> Academic                   | <input type="checkbox"/> Long-Term Care   |
| <input type="checkbox"/> Ambulance/Transportation   | <input type="checkbox"/> Managed Care   |
| <input type="checkbox"/> Behavioral Health          | <input type="checkbox"/> Medical Device Manufacturer  |
| <input type="checkbox"/> Consulting Firm            | <input type="checkbox"/> Medical/Clinical Research  |
| <input type="checkbox"/> Durable Medical Equipment  | <input type="checkbox"/> Nursing  |
| <input type="checkbox"/> Government Provider        | <input type="checkbox"/> Other Provider of Services/<br>Products to Health Care<br>Entities |
| <input type="checkbox"/> Health System              | <input type="checkbox"/> Payor/Insurance  |
| <input type="checkbox"/> Health System/Teaching     | <input type="checkbox"/> Pharmaceutical Manufacturer  |
| <input type="checkbox"/> Home Care/Hospice          | <input type="checkbox"/> Physician Practice   |
| <input type="checkbox"/> Hospital                   | <input type="checkbox"/> Rehabilitation   |
| <input type="checkbox"/> Hospital/Teaching          | <input type="checkbox"/> Retail Pharmacy  |
| <input type="checkbox"/> Integrated Delivery System | <input type="checkbox"/> Third-Party Billing  |
| <input type="checkbox"/> Integrated Health System   | <input type="checkbox"/> Other (please list below)  |
| <input type="checkbox"/> Laboratory                 |   |
| <input type="checkbox"/> Law Firm                   |   |

List others not listed here:

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What certifications do you hold? Select all that apply.

- |                                |                               |                                |                               |
|--------------------------------|-------------------------------|--------------------------------|-------------------------------|
| <input type="checkbox"/> BA    | <input type="checkbox"/> CHE  | <input type="checkbox"/> FHFMA | <input type="checkbox"/> MSN  |
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| <input type="checkbox"/> BS    | <input type="checkbox"/> CHPC | <input type="checkbox"/> LLM   | <input type="checkbox"/> NHA  |
| <input type="checkbox"/> BSN   | <input type="checkbox"/> CHRC | <input type="checkbox"/> MA    | <input type="checkbox"/> PhD  |
| <input type="checkbox"/> CCEP  | <input type="checkbox"/> CIA  | <input type="checkbox"/> MBA   | <input type="checkbox"/> RHIA |
| <input type="checkbox"/> CEM   | <input type="checkbox"/> CPA  | <input type="checkbox"/> MHA   | <input type="checkbox"/> RHIT |
| <input type="checkbox"/> CCS   | <input type="checkbox"/> CPC  | <input type="checkbox"/> MPA   | <input type="checkbox"/> RN   |
| <input type="checkbox"/> CCS-P | <input type="checkbox"/> CPHQ | <input type="checkbox"/> MPH   |                               |
| <input type="checkbox"/> CFE   | <input type="checkbox"/> DDS  | <input type="checkbox"/> MS    |                               |
| <input type="checkbox"/> CHC   | <input type="checkbox"/> ESQ  | <input type="checkbox"/> MSHA  |                               |

List others not listed here:

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## Contact Information

Mr  Mrs  Ms  Dr

HCCA Member ID (if applicable)

First Name MI

Last Name

Credentials (CHC, CCEP, etc.)

Job Title

Name of Employer

Street Address

City/Town State/Province

Country Zip/Postal Code

Phone

Email (required for registration confirmation & conference information)

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**EMAIL** your completed form (do not include credit card) to [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org)

**MAIL** your registration form with check enclosed:

HCCA, 6500 Barrie Road, Suite 250  
Minneapolis, MN 55435

**FAX** your completed form to 952.988.0146  
(include all billing information)

**QUESTIONS?** Call 888.580.8373  
or email [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org)

## Registration Options

- HCCA Members: MONDAY/TUESDAY ..... \$799
- Non-Members: MONDAY/TUESDAY ..... \$949
- HCCA Membership & Registration: MONDAY/TUESDAY ..... \$999  
FIRST-TIME MEMBERS ONLY / DUES REGULARLY \$295 ANNUALLY
- Pre-Conference Registration: SUNDAY MORNING\* ..... \$125
- Pre-Conference Registration: SUNDAY AFTERNOON\* ..... \$125
- Post-Conference Registration: WEDNESDAY MORNING\* ..... \$125
- Discount for 5 or more from same organization ..... (\$50)
- Discount for 10 or more from same organization ..... (\$100)

Registration fees are as listed and considered net of any local withholding taxes applicable in your country of residence.

**TOTAL \$** \_\_\_\_\_

## Dietary Needs Request

- Gluten Free
- Kosher-Style  
(NO SHELLFISH, PORK,  
OR MEAT/DAIRY MIXED)
- Kosher  
(HECHSHER CERTIFIED)
- Vegetarian
- Vegan
- Dairy Free
- Other (WRITE BELOW): \_\_\_\_\_

## Payment Options

- Check enclosed (payable to HCCA)
- Invoice me
- I authorize HCCA to charge my credit card (choose card below):  
CREDIT CARD:  American Express  Discover  MasterCard  Visa

Due to PCI Compliance, please do not provide any credit card information via email. You may email this form to [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org) (without credit card information) and call HCCA at 888.580.8373 or 952.988.0141 with your credit card information.

Credit Card Account Number

Credit Card Expiration Date

Cardholder's Name

Cardholder's Signature

HR1118

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**Payment Term:** Checks are payable to HCCA. Credit cards accepted: American Express, MasterCard, Visa, or Discover. HCCA will charge your credit card the correct amount should your total be miscalculated. If you wish to pay using wire transfer funds, please email [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org) for instructions.

**Cancellations/Substitutions:** You may send a substitute in your place or request a conference credit. Refunds will not be issued. Conference credits are issued in the full amount of the registration fees paid, and will expire 12 months from the date of the original, cancelled event. Conference credits may be used toward any HCCA service or product, except *The Health Care Compliance Professional's Manual*. If a credit is applied toward an event, the event must take place prior to the credit's expiration date. If you need to cancel your participation, notification is required by email, sent to [helpteam@hcca-info.org](mailto:helpteam@hcca-info.org), prior to the start date of the event. Please note that if you are sending a substitute, an additional fee may apply.

**Group discounts:** Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. The group discount is NOT available through online registration. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

**5 or more:** \$50 discount for each registrant

**10 or more:** \$100 discount for each registrant

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I agree and acknowledge that I am undertaking participation in Health Care Compliance Association events and activities as my own free and intentional act, and I am fully aware that possible physical injury might occur to me as a result of my participation in these events. I give this acknowledgment freely and knowingly, and I assert that I am, as a result, able to participate in HCCA events, and I do hereby assume responsibility for my own well-being. I agree and acknowledge that HCCA plans to take photographs and/or video at this conference and reproduce them in HCCA educational, news, or promotional material, whether in print, electronic, or other media, including the HCCA website. By participating in this HCCA conference, I grant HCCA the right to use my name, photograph, video, and biography for such purposes. As a participant of this event, I understand that my name, job title, organization, city, state, and country will be listed on the attendee list that will be distributed to attendees and speakers at this event.

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**Special Needs/Concerns:** Prior to your arrival, please call HCCA at 888.580.8373 if you have a special need and require accommodation to participate.

**Dress Code:** Business casual dress is appropriate.

## Hotel & Conference Location

**Grand Hyatt Washington**  
1000 H Street NW  
Washington, DC 20001

**Phone reservations:** 888.421.1442  
(ask for the Health Care Compliance Association or HCCA group rate)

**Online reservations:**  
Visit [hcca-info.org/hecc](http://hcca-info.org/hecc) and click the Hotel button.

A reduced rate of \$269 for a standard room with single/double occupancy per night, plus applicable taxes (currently 14.5%, subject to change) has been arranged for this program. This rate is good through Friday, October 11, 2018, or until the group block is full, whichever comes first. All reservations must be guaranteed and accompanied by a first-night room deposit or a major credit card.

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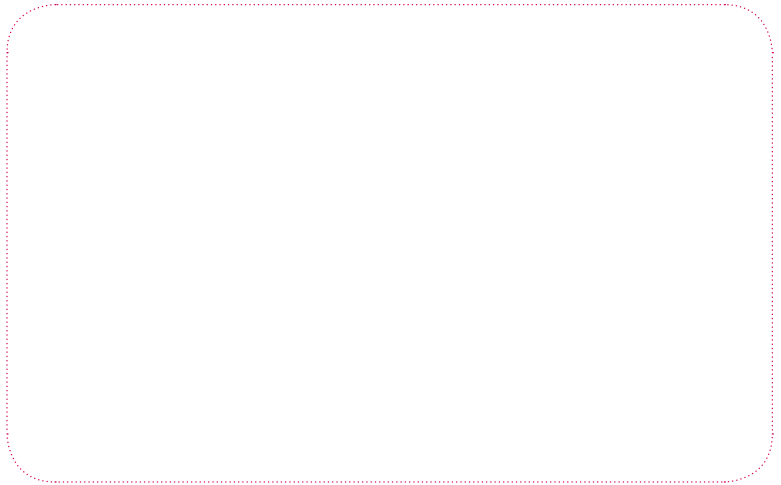
## HEALTH CARE COMPLIANCE ASSOCIATION

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6500 Barrie Road, Suite 250  
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*4<sup>th</sup> Annual*

# Healthcare Enforcement Compliance Conference

November 4–7, 2018 | Grand Hyatt Washington | Washington, DC

## Don't miss these General Session speakers

### DOJ Criminal Update

JOSEPH BEEMSTERBOER  
Chief, Healthcare Fraud Unit,  
Fraud Section, Criminal Division,  
U.S. DOJ

SALLY MOLLOY  
Trial Attorney, Fraud Section,  
Criminal Division, U.S. DOJ

MODERATOR: GABRIEL IMPERATO  
Managing Partner, Nelson Mullins  
Broad and Cassel

### OIG Update



GREGORY DEMSKE  
Chief Counsel to the  
Inspector General, HHS/OIG

### Enforcement and the Opioid Crisis Discussion



MODERATOR:  
JASON MEHTA  
Partner, Bradley



GARY CANTRELL  
Deputy Inspector General for  
Investigations, OIG HHS

EDWARD CROOKE  
Assistant Director,  
Civil Division, U.S. DOJ

MARY DALY  
Associate Deputy Attorney General  
and Director of Opioid Enforcement  
and Prevention, U.S. DOJ

### Cyber Security and Risk in the Hospital Space



JOHN RIGGI  
Senior Advisor for  
Cybersecurity and Risk,  
American Hospital Association

### CMS Update/ Program Integrity



ALEC ALEXANDER  
Deputy Administrator, CMS,  
Director, Center for Program  
Integrity, Centers for Medicare  
& Medicaid Services

### DOJ Civil Update



MICHAEL GRANSTON  
Director, Commercial Litigation  
Branch, Fraud Section,  
Civil Division, U.S. DOJ

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