

Compliance Program Effectiveness Audits

Moderator: Vikki Ahern, Director, Medicare Parts C and D Oversight and Enforcement Group, CMS

Panel:

- Milagros Yzquierdo – Chief Compliance Officer, HealthSun Health Plans
- Peg Fry, CHC – Medicare Compliance Officer, BlueCross BlueShield of Tennessee
- Kate Mihalevich, Vice President and Chief Compliance Officer, Express Scripts Medicare

HealthSun Health Plans Medicare Advantage & Prescription Drug Plan



Milagros Yzquierdo – Chief Compliance Officer

Direct report to Ron Schutzen, President & CEO

- Headquarters Miami, FL with 297 Employees
- Medicare Advantage Plans – 40,000 Members in Miami-Dade and Broward
- 12 Years serving Miami Dade and Broward
- 5 Star Rated Plan

Audits Timeline:

2012 Program Audit

2013 CMS Audit Validation – Audit Closed Out in 2014

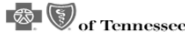
2017 Program Audit

Pending Independent Validation Audit – Projected Completion Date April 2018

BlueCross BlueShield of Tennessee

Peg Fry, CHC – Medicare Compliance Officer

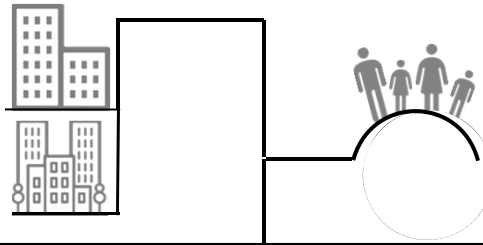
- + Headquarters – Chattanooga, TN with 6,000 employees
 - + Not-for-Profit – Providing health insurance in TN for over 70 years
 - Medicare Advantage plans - 115,000 members
 - Dual Special Needs plan - 16,000 members
 - Medicare Supplement plans - 50,000 members
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- + Audit Status
 - Engagement letter received March 20, 2017
 - Completed onsite audit May 18, 2017
 - CMS accepted CAPS August 31, 2017
 - Validation Audit completed December 6, 2017
 - CMS closeout December 14, 2017



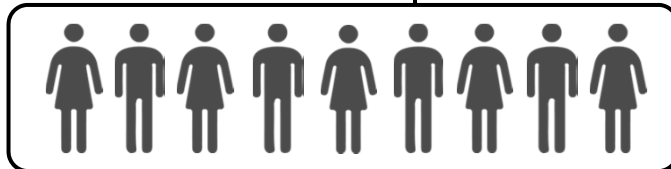
Express Scripts Medicare™ (PDP)

KATE MIHALEVICH, VICE PRESIDENT AND CHIEF COMPLIANCE OFFICER
HEADQUARTERS - ST. LOUIS, MO WITH 24,000 PBM EMPLOYEES

Support provided to over **164** employers and retiree groups totaling over **1.7M** EGWP lives enrolled



Offering the Express Scripts Medicare™ (PDP) nationwide with over **700K** lives enrolled



Approximately 150 employees dedicated to Express Scripts PDP Operations

- 2013 Program Audit
2017 Program Audit
- Validation Nov. '17
 - Awaiting close out