**QUARTERLY COMPLIANCE SUMMARY**

Hotline Statistics

Total calls:

Number anonymous:

Number substantiated:

Numbers with disciplinary action:

Compliance Audits

Audit description:

Internal or external:

Findings:

Corrective action taken:

Audit description:

Internal or external:

Findings:

Corrective action taken:

External Audits or Investigations

Issue description:

Status:

Agency involved:

Findings:

Repayments, settlements or fines:

Education & Training Provided

Topic:

Audience:

Number attending:

Topic:

Audience:

Number attending:

Compliance Initiatives

Project:

Goal:

Progress & expected completion date:

Barriers:

Regulatory & Enforcement Update

Description:

Agency:

Internal assessment:

Activity needed: