


# APPLICATION FOR CONTINUING EDUCATION UNITS (CEUs)



**HCCA's Research Compliance Conference &  
SCCE's Higher Education Compliance Conference  
June 9 - 12 , 2019, Orlando, Florida**

**Please leave this application with staff at the Registration Desk or  
email: [ccb@compliancecertification.org](mailto:ccb@compliancecertification.org) | phone: 952.988.0141 | fax: 952.988.0146**

This form must be completed and submitted to receive a certificate of attendance and/or continuing education credit. Check the box(es) below corresponding to the credit type(s) you wish to receive.

 <p><b>CHC, CHRC, CHPC, CHC-F, CCEP, CCEP-I, CCEP-F</b>   50-minute hour</p> <p><input type="checkbox"/> <b>AHIMA</b>   60-minute hour <i>HCCA Sessions Only</i></p> <p><input type="checkbox"/> <b>ACHE</b>   60-minute hour <i>HCCA Sessions Only</i></p> <p><input type="checkbox"/> <b>RN – CA Board of Registered Nursing</b> <i>HCCA Sessions Only</i> State/License # _____</p> <p><input type="checkbox"/> <b>Other</b>   Credit type not already listed. _____</p>	<p><input type="checkbox"/> <b>FOR ATTORNEYS ONLY: Continuing Legal Education (CLE)</b>   Submit this application <u>within seven days</u> to allow for state reporting, if required.</p> <p>Individuals <b>MUST</b> sign in/sign out* if required by their state. Verify your CLE requirements with your state.</p> <p>State/License # _____</p> <p>State/License # _____</p> <p>State/License # _____</p> <p><input type="checkbox"/> <b>NASBA/CPE</b>   Individuals <b>MUST</b> also sign in/sign out* per NASBA credit requirements.</p>
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**\*Sign-in/sign-out sheets are available outside meeting room.**

**CCB, ACHE, AHIMA** credits and certificate will be posted and available online in your account within two-four weeks.

**CLE, NASBA, RN and Other** external credit certificates will be emailed within four weeks.

★ **By signing below, I attest that I HAVE ATTENDED THE SESSION(S) I indicated on this application:**

Name (PRINT legibly): \_\_\_\_\_ Phone: \_\_\_\_\_

Email Certificate to: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

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- ★ **ATTENDEES** must indicate “Attendee” for attendance below – **ONLY check sessions attended!**
- ★ **SPEAKERS** must indicate “Speaker” for sessions presented and “Attendee” for sessions attended.
- ★ **NOTE** any session time missed if you arrived late or left early, excluding restroom breaks.

## Sunday, June 9 | Pre-Conference

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### 1:00 – 2:30 pm (1.5 clock hours or 90 minutes)

- |                                   |                                  |             |  |
|-----------------------------------|----------------------------------|-------------|--|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>HCCA</b> | <b>P1</b> Compliance within Your HRPP  |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>HCCA</b> | <b>P2</b> Uniform Guidance 101   |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>SCCE</b> | <b>P1</b> Title IX Compliance Strategies for Smaller Institutions                        |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>SCCE</b> | <b>P2</b> University Student Health Centers: Where They Fit Into Your Compliance Program |

### 2:45 – 4:15 pm (1.5 clock hours or 90 minutes)

- |                                   |                                  |             |  |
|-----------------------------------|----------------------------------|-------------|--|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>HCCA</b> | <b>P3</b> Sharpening Research Compliance: Heighten Awareness... Risk Assessment            |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>HCCA</b> | <b>P4</b> Identifying and Managing Physician Conflicts of Interest in the Research Context |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>SCCE</b> | <b>P3</b> Drones: Up, Up, and Away   |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>SCCE</b> | <b>P4</b> Before ICE Comes Knocking – Strategies to Address...and SEVP Compliance          |

## Monday, June 10 | Conference

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### 8:15 – 9:15 am (1.0 clock hour or 60 minutes)

- |                                   |                                  |             |  |
|-----------------------------------|----------------------------------|-------------|--|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>HCCA</b> | <b>General Session 1</b> Research Year in Review                             |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>SCCE</b> | <b>General Session 1</b> Higher Education Compliance: 2018-19 Year in Review |

### 9:45 – 10:45 am (1.0 clock hour or 60 minutes)

- |                                   |                                  |             |   |
|-----------------------------------|----------------------------------|-------------|---|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>HCCA</b> | <b>101</b> Demystifying Human Subjects Research Versus Quality Improvement: ...Case Study       |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>HCCA</b> | <b>102</b> Tricky Clinical Trial Budget and Research Billing Compliance Issues...& Acquisitions |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>HCCA</b> | <b>103</b> Navigating Federally Sponsored Projects Abroad: ...Challenges and Solutions          |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>SCCE</b> | <b>101</b> Don't Let Your Pull Push You Off the Rails   |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>SCCE</b> | <b>102</b> Don't Bite Off More Than You Can Chew: Taking a Risk-Based...Help You Get There      |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>SCCE</b> | <b>103</b> Human Resources Compliance - An uncommon approach at the Univ. of California         |

### 11:00 am – 12:00 pm (1.0 clock hour or 60 minutes)

- |                                   |                                  |             |  |
|-----------------------------------|----------------------------------|-------------|--|
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>HCCA</b> | <b>201</b> The Intersection of Clinical Data Management and HIPAA: ...Compliance of Clinical Data  |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>HCCA</b> | <b>202</b> Research Privacy and Security Considerations Beyond HIPAA: ...Compliance Concerns?      |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>HCCA</b> | <b>203</b> From Paper to Electronic: Evolution of an IACUC Protocol Management System              |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>SCCE</b> | <b>201</b> We Supercharged Our Compliance Learning and Development Program, YOU CAN TOO            |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>SCCE</b> | <b>202</b> Getting the most from your Hotline Program: ...Insights into Your Institutional Culture |
| <input type="checkbox"/> Attendee | <input type="checkbox"/> Speaker | <b>SCCE</b> | <b>DG203</b> The US News & World Report Effect   |

NAME (Print): \_\_\_\_\_

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## Monday, June 10 | Conference *continued...*

### 1:00 – 2:00 pm (1.0 clock hour or 60 minutes)

<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>HCCA</b>	<b>301</b> Clinical Trials Compliance: Collaboration Builds Effectiveness
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>HCCA</b>	<b>302</b> Compliance through Collaboration: ...Strong Human Research Protection Program (HRPP)
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>HCCA</b>	<b>AD303</b> Adapting to New Hazardous Drug Requirements in Research Settings
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>SCCE</b>	<b>301</b> Think Outside the Box: Compliance Collaborative...Private Colleges and Universities
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>SCCE</b>	<b>302</b> An Ethical Journey
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>SCCE</b>	<b>303</b> Developing a Program for Controlled Unclassified Information (CUI)

### 2:30 – 3:30 pm (1.0 clock hour or 60 minutes)

<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>HCCA</b>	<b>401</b> Exploring Observational Registries and Human Subject Research. Ambiguity...Constant
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>HCCA</b>	<b>402</b> Demystifying Partial Waivers of Consent and Authorization
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>HCCA</b>	<b>AD403</b> Thorny Clinical Research Billing and Coverage Issues
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>SCCE</b>	<b>401</b> Minors on Campus: It’s More than Child’s Play
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>SCCE</b>	<b>402</b> Leveraging Partnerships & Technology to Enhance Your Institution’s Compliance Program
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>SCCE</b>	<b>403</b> ‘Seriously, again already?’

### 3:45 – 4:45 pm (1.0 clock hour or 60 minutes)

<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>HCCA</b>	<b>General Session 2</b> Reflecting on the Revised Common Rule: Lessons Learned & Deep Thoughts
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>SCCE</b>	<b>General Session 2</b> Civil Rights Enforcement at the U.S. Department of Education

## Tuesday, June 11 | Conference

### 8:15 – 9:15 am (1.0 clock hour or 60 minutes)

<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>HCCA</b>	<b>General Session 3</b> HHS OIG and Research Compliance
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>SCCE</b>	<b>General Session 3</b> Compliance as a Change Agent

### 9:45 – 10:45 am (1.0 clock hour or 60 minutes)

<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>HCCA</b>	<b>501</b> ClinicalTrials.gov: Oversight and Compliance at a Diverse Academic Research Center
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>HCCA</b>	<b>502</b> Research PHI in the Cloud: Human Subjects Protection Transformed
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>HCCA</b>	<b>503</b> Mining the Sunshine Data: Finding Potential Conflicts of Interest
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>SCCE</b>	<b>501</b> Campus Wide Policy Management System: Lessons from the Trenches
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>SCCE</b>	<b>502</b> Gift Acceptance: Balancing University Priorities with Donor Intent
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>SCCE</b>	<b>DG503</b> Saying No to Power: A Must-Have Skill for a Compliance Officer

NAME (Print): \_\_\_\_\_

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## Tuesday, June 11 | Conference *continued...*

### 11:00 am – 12:00 pm (1.0 clock hour or 60 minutes)

<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>HCCA</b>	<b>601</b> Research Billing Compliance Program – From Zero to 100 in 60 seconds!
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>HCCA</b>	<b>602</b> GDP - What? How the European Union General Data Protection...Impact Research
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>HCCA</b>	<b>603</b> Export Controls in Medical Research: Compliance...International Collaborations
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>SCCE</b>	<b>601</b> Teamwork: The Relationship Between Compliance Officers...Internal Investigations
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>SCCE</b>	<b>602</b> Fighting Fraud and Corruption in Higher Education
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>SCCE</b>	<b>603</b> International Programs and the FCPA Survival Guide – Anywhere...We Can Comply

### 1:00 – 2:00 pm (1.0 clock hour or 60 minutes)

<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>HCCA</b>	<b>701</b> Relying on Someone Else’s IRB: ...for Hospitals and Academic Medical Centers
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>HCCA</b>	<b>702</b> Investigator Initiated Trials (IITs): Addressing the Challenges...for Compliance and GCP
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>HCCA</b>	<b>703</b> The Dos and Don’ts of Investigating Research Misconduct Cases
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>SCCE</b>	<b>701</b> Concern Reporting: Leveraging Reporting to Drive Integrity Culture
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>SCCE</b>	<b>702</b> Unique Challenges for HIPAA Compliance Within a University Hybrid Entity: ...an AMC
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>SCCE</b>	<b>703</b> Get the PCI DSS Compliance Program You Need

### 2:15 – 3:15 pm (1.0 clock hour or 60 minutes)

<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>HCCA</b>	<b>801</b> Importance of Ensuring Blockchain Compliance for Your Institution
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>HCCA</b>	<b>802</b> Dealing with Data: Non-technical Thoughts Concerning Data Security & Management
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>HCCA</b>	<b>803</b> Radioactive Drug Research Committees – Do you have one? ...Do you want one?
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>SCCE</b>	<b>801</b> Creating a Successful Title IX Compliance Program
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>SCCE</b>	<b>802</b> Compliance By Wandering Around (CBWA): ...Effectiveness of Your Compliance Program
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>SCCE</b>	<b>803</b> Re-inventing a Code of Conduct: A Case In Civility

### 3:30 – 4:30 pm (1.0 clock hour or 60 minutes)

<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>HCCA</b>	<b>General Session 4</b> Case Studies in Clinical Research Billing Risk
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>SCCE</b>	<b>General Session 5</b> From the Big Easy to the Big Ten and Beyond: ...Colleges & Universities

## Wednesday, June 12 | Post-Conference

### 8:30 – 10:00 am (1.5 clock hours or 90 minutes)

<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>HCCA</b>	<b>W1</b> Two Offices Divided by a Common Goal: Col and IRB Review
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>HCCA</b>	<b>W2</b> Mindful Cross Training: Framing an Effective Approach in the...Risks for the Future
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>SCCE</b>	<b>W1</b> Commercialization and Conflicts: How Values Can Drive Simplification
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>SCCE</b>	<b>W2</b> Trends and Updates in Federal Student Loan Fraud Investigations

### 10:15 – 11:45 am (1.5 clock hours or 90 minutes)

<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>HCCA</b>	<b>W3</b> Integrating Community Hospital Based Research in a System Wide Network: ...Until Done
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>HCCA</b>	<b>W4</b> Stage Two! – Applying Concepts Learned at the HCCA Research Academy over Consecutive Years
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>SCCE</b>	<b>W3</b> The Office of the Chief Privacy Officer: Leading Practices from Research Universities
<input type="checkbox"/> Attendee	<input type="checkbox"/> Speaker	<b>SCCE</b>	<b>W4</b> How to Take an Integrated Approach to Compliance in a World of Uncertainty

NAME (Print): \_\_\_\_\_